| Name of the Company | : AUTOMOTIVE AXLES LIMITED |
|---------------------|----------------------------|
| Scrip Code - BSE | : 505010 |
| NSE | : AUTOAXLES |
| Class of Security | : Equity |
| Quarter ended | : 31ST MARCH 2014 |

SHAREHOLDING PATTERN IN COMPLIANCE WITH CLAUSE 35 OF THE LISTING AGREEMENT AS ON 31ST MARCH 2014

1(a) Statement showing Shareholding Pattern

| Partly paid-up shares :- | No of partly paid- up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the Company |
|---|---------------------------------|---|--|
| Held by promoter/promoter group | NIL | NA | NA |
| Held by Public | NIL | NA | NA |
| Total(D) | 0 | 0 | 0 |
| Outstanding convertible securities :- | No of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | NIL | NA | NA |
| Held by Public | NIL | NA | NA |
| Total(E) | 0 | 0 | 0 |
| Warrants : - | No of warrants | As a % of total no. of warrants | As a % of total no. of shares of the Company, assuming full conversion of warrants |
| Held by promoter/promoter group | NIL | NA | NA |
| Held by Public | NIL | NA | NA |
| Total(F) | 0 | 0 | 0 |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (A+B+C)+(D+E+F) | 15,111,975 | NA | 100.00 |

| Category Code | Category of shareholder | Total Number of shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding of total number of s | | Shares pledge encumbered | ares pledged or otherwise cumbered | | |
|---|---|------------------------------|------------------------|---|---|----------------------------|-----------------------------|---------------------------------------|--|--|
| | | | | lom | As a percentage of (A+B)1 | As a percentage of (A+B+C) | Number of shares | As a percentage | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX=(VIII)/(IV)*100 | | |
| (A) | Shareholding of Promoter and | | | | | | | | | |
| (4) | Promoter Group[2] | | | | | | | | | |
| (1) (a) | Indian Individuals/ Hindu Undivided Family | _ | - | _ | _ | _ | - | _ | | |
| (a) | individuals/ rimud ondivided ramily | | | | | | | | | |
| (b) | Central Government/ State Government(s) | - | - | - | - | - | - | - | | |
| (c) | Bodies Corporate | 1 | 5,367,806 | 5,367,806 | 35.52 | 35.52 | - | - | | |
| (d) | Financial Institutions/ Banks | - | - | - | - | - | - | - | | |
| (e) | Any Other (specify) | - | - | - | - | - | - | - | | |
| (-) | Sub-Total (A)(1) | 1 | 5367806 | 5367806 | 35.52 | 35.52 | 0 | - | | |
| (2) | Foreign | | 3307000 | 3307000 | 33.32 | 33.32 | - | | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | - | - | - | - | - | - | - | | |
| (b) | Bodies Corporate | 1 | 5,367,275 | 5,367,275 | 35.52 | 35.52 | - | - | | |
| (c) | Institutions | - | - | - | - | - | - | - | | |
| (d) | Qualified Foreign Investor | _ | - | _ | _ | - | - | _ | | |
| (e) | Any Other (specify) | _ | _ | _ | _ | _ | _ | _ | | |
| (6) | | | | | | | | | | |
| | Sub-Total (A)(2) | 1 | 5367275 | | | 35.52 | - | - 0.00 | | |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | 2 | 10,735,081 | 10,735,081 | 71.04 | 71.04 | - | 0.00 | | |
| (B) | Public shareholding[3] | | | | | | | | | |
| (1) (a) | Institutions Mutual Funds/ UTI | 19 | 1,618,128 | 1,617,190 | 10.71 | 10.71 | - | | | |
| (a) (b) | Financial Institutions/ Banks | - 19 | 1,010,120 | 1,017,190 | - | 10.71 | 1 | | | |
| (c) | Central Government/ State Government(s) | - | - | - | - | - | | | | |
| (d) | Venture Capital Funds | - | - | - | - | - | | | | |
| (e) | Insurance Companies | - | - | - | | - | | | | |
| (f) | Foreign Institutional Investors | 2 | 23,250 | 23,250 | 0.15 | 0.15 | - | | | |
| (g) | Foreign Venture Capital Investors Qualified Foreign Investor | - | - | | | | | | | |
| (h) | Any Other (specify) | - | - | - | - | - | ш | ш | | |
| (i) | Sub-Total (B)(1) | - 21 | 1,641,378 | | | 10.86 | <u> </u> | l BI | | |
| (2) | Non-institutions | 21 | 1,041,370 | 1,040,440 | 10.00 | 10.00 | l ₹ | [SA | | |
| (a) | Bodies Corporate | 132 | 1,409,365 | 1,409,251 | 9.33 | 9.33 | PLICABLE | PLICABLE | | |
| (b) | Individuals - | | | | | | <u> </u> | l q | | |
| | Individual shareholders holding nominal share capital up to Rs. 1 lakh. | 8,227 | 851,670 | 718,321 | 5.64 | 5.64 | NOT APF | NOT APF | | |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | | 440,109 | 440,109 | 2.91 | 2.91 | | | | |
| (c) | Qualified Foreign Investor | - | _ | - | _ | _ | 1 | | | |
| (d) | Any Other (specify) | - | - | - | - | - | | | | |
| \-\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | Trust | - | | - | - | - |] | | | |
| | NRI | 98 | 28939 | | | 0.19 |] | | | |
| | Clearing Member | 60 | 5433 | | | 0.04 | | | | |
| | Sub-Total (B)(2) Total Public Shareholding (B)= (B)(1)+(B)(2) | 8,525 8,546 | 2,735,516 4,376,894 | | | | | | | |
| | TOTAL (A)+(B) | 8,548 | 15,111,975 | 14,977,474 | 100.00 | 100.00 | 0 | 0.00 | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | - | .0,111,010 | ,511,717 | 100.00 | 100.00 | | 5.00 | | |
| 1 | Promoter and Promoter Group | - | - | - | - | - | - | - | | |
| 2 | Public | - | - | - | - | - | - | - | | |
| | Sub-Total (C) | - | - | - | - | - | - | - | | |
| | GRAND TOTAL (A)+(B)+(C) | 8,548 | 15,111,975 | 14,977,474 | 100.00 | 100.00 | 0 | 0.00 | | |

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group" **Details of Shares held** Details of convertible

Sr.

Name of the shareholder

| No. | | | | | | · | | | securities | | underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|-----|---|--------------------------|---|-----|--------------------|--|-------------------------------|--|--|--|--|
| | | No, of Shares held | As a % of grand total (A)+(B)+(C) | No. | As a percentage | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | |
| (I) | (II) | (III) | (IV) | (V) | (VI)=(V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1. | BF Investment Limited | 5367806 | 35.52 | 0 | 0.00 | 0.00 | - | - | - | - | - |
| 2. | Meritor Heavy Vehcile Systems LLC., USA | 5367275 | 35.52 | 0 | 0.00 | 0.00 | - | - | - | - | - |
| | Total | 10735081 | 71.04 | 0 | 0.00 | 0.00 | - | - | - | - | - |

Encumbered shares (*)

Details of warrants

Total shares (including underlying charge

(I)(c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder | Number of shares held Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | | Details of warrants | | Details of secu | Total shares (including underlying shares assuming full conversion of | |
|---------|---|---|-------|-------------------------------|---|--------------------|---|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | convertible | % w.e.t total number of convertible securities of the same class | warrants and convertible securities) as a % of diluted share capital |
| 1. | RELIANCE CAPITAL TRUSTEE COMPANY LIMITED A/C RELIANCE VISION FUND | 1080000 | 7.15 | - | - | - | - | - |
| 2. | BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD. | 602712 | 3.99 | - | - | 1 | - | - |
| 3. | M3 INVESTMENT PRIVATE LIMITED | 500000 | 3.31 | - | - | 1 | - | - |
| 4. | RELIANCE CAPITAL TRUSTEE CO. LTD A/C RELIANCE TAX SAVER (ELSS) FUND | 216531 | 1.43 | - | - | - | - | - |
| | TOTAL | 2399243 | 15.88 | - | - | - | - | - |

(I)(c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | shares | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants | | | convertible urities | Total shares (including underlying shares assuming full conversion of |
|---------|---|---------|---|---------------------|---|-------------|------------------------|--|
| | | | | Number of warrants | As a % total number of warrants of the same class | convertible | | warrants and convertible securities) as a % of diluted share capital |
| 1. | RELIANCE CAPITAL TRUSTEE COMPANY LIMITED A/C RELIANCE VISION FUND | 1080000 | 7.15 | - | - | - | - | - |
| | Total | 1080000 | 7.15 | - | - | - | - | - |

(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Promoter / Promoter Group / Public |
|---------|-------------------------|---------------------|--|---------------------------------------|
| | NIL | NIL | NIL | NIL |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | | | | | nderlying |
|---------|---|-------------|------------|-------------|---------------|-----------|
| | | outstanding | underlying | outstanding | outstanding | DRs as |
| | | DRs | DRs | | a percentag | |
| | | | | | number of | shares |
| | | | | | {i.e., Gran | d Total |
| | | | | | (A)+(B)+(C) | indicated |
| | | | | | in Statemen | t at para |
| | | | | | (I)(a) above} | |
| | NIL | NIL | N | NL . | NIL | |

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter / promoter group' are in excess of 1% of the total number of shares

| Sr. No. | | 71. | underlying outstanding DRs | a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|-----|-----|-------------------------------|---|
| | NIL | NIL | NIL | NIL |

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Description of Voting Right for each class of Securities

Class X:

Class Y:

--Not Applicable--

Class Z:

| Code | Category of shareholder | Nullibel of vo | oting Right | s held in | Total Voting Rights (III+IV+V) | Total Voting Rights i.e (VI) | | |
|------|--|-------------------------|-------------|-------------|--------------------------------|-------------------------------|---------|--|
| Joue | (II) | Class X Class Y Class Z | | | , | As a % of As a % of (A+B) | | |
| (I) | | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | |
| (A) | Promoter and Promoter Group | | | | , | | · · · · | |
| (1) | Indian | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | | | | | | | |
| (b) | Central Government/ State Government(s) | | | | | | | |
| (c) | Bodies Corporate | | | | | | , | |
| (d) | Financial Institutions/ Banks | | | | | ./ | | |
| (e) | Any Other (specify) | | | | | , | | |
| | Sub-Total (A)(1) | | | | | | | |
| (2) | Foreign | | | | | 1 | | |
| (a) | Individuals (Non-Resident Individuals/ Foreign Individuals) | | | | A | | | |
| (b) | Bodies Corporate | | | | | | | |
| (c) | Institutions | | | | | | | |
| (d) | Any Other (specify) | | | | . 7 | | | |
| | Sub-Total (A)(2) | | | | . 75 | | | |
| | Total Shareholding of Promoter and Promoter Group $(A)=(A)(1)+(A)(2)$ | | | | 22 | | | |
| (B) | Public shareholding | | | | | | | |
| (1) | Institutions | | | | | | | |
| . , | Mutual Funds/UTI | | | | | | | |
| | Financial Institutions/ Banks Central Government/ State | | | | 2 | | | |
| | Government(s) | | | 7 | Q y | | | |
| | Venture Capital Funds | | | | (, | | | |
| | Insurance Companies | | | X | Y | | | |
| | Foreign Institutional Investors | | | 0 | | | | |
| | Foreign Venture Capital Investors | | | | | | | |
| (h) | Any Other (specify) | | | > | | | | |
| | Sub-Total (B)(1) | | , j | | | | | |
| (2) | Non-institutions | | | | | | | |
| (a) | Bodies Corporate | | , | | | | | |
| (b) | Individuals - | | .1 | | | | | |
| | iii. Individual shareholders holding nominal share capital up to Rs. 1 lakh. | ,1 | | | | | | |
| | iv. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | | | | | | | |
| (c) | Any Other (specify) | • | | | | | | |
| | Sub-Total (B)(2) | | | | | | | |
| | Total Public Shareholding (B)= (B)(1)+(B)(2) | | | | | | | |
| | TOTAL (A)+(B) | | | | | | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | |
| | GRAND TOTAL (A)+(B)+(C) | | | 1 | | | | |